

Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

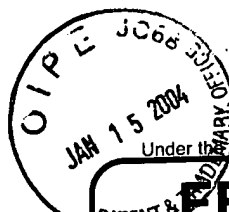
TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/609,266
	Filing Date	Jun 26, 2003
	First Named Inventor	Allard
	Group Art Unit	3713
	Examiner Name	NGUYEN, KIM T
Total Number of Pages in This Submission	Attorney Docket Number	MS1-1499US

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s) Sheets	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	PTO Form 1449; 45 cited references; return postcard
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Allan T. Sponseller, Reg. No. 38,318
Signature	
Date	1/12/04

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date: 1/12/04	
Typed or printed name	Carly E. Taylor
Signature	
Date	1/12/04

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS.



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL
for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT	(\$) 0.00
--------------------------------	------------------

Complete if Kn wn

Application Number	10/609,266
Filing Date	Jun 26, 2003
First Named Inventor	Allard
Examiner Name	NGUYEN, KIM T
Art Unit	3713
Attorney Docket No.	MS1-1499US

METHOD OF PAYMENT (check all that apply)☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:

Deposit
Account
Number
Deposit
Account
Name

12-0769

Lee & Hayes, PLLC

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Credit any overpayments

☒ Charge any additional fee(s) or any underpayment of fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

	Large Entity	Small Entity
1. Basis	Cost	Cost
2. Depreciation	MACRS	MACRS
3. Section 179	Yes	Yes
4. Bonus Depreciation	Yes	Yes
5. UCC	Yes	Yes
6. LIFO	Yes	No
7. Inventory	FIFO	FIFO
8. Charitable Deduction	Yes	No
9. R&D	Yes	No
10. Bad Debt	Yes	No
11. NOL	Yes	No
12. Capital Loss	Yes	No
13. Charitable Contribution	Yes	No
14. Earnings and Profits	Yes	No
15. Dividends	Yes	No
16. Dividend Received	Yes	No
17. Dividend Paid	Yes	No
18. Dividend Exclusion	Yes	No
19. Dividend Inclusion	Yes	No
20. Dividend Exemption	Yes	No
21. Dividend Exemption	Yes	No
22. Dividend Exemption	Yes	No
23. Dividend Exemption	Yes	No
24. Dividend Exemption	Yes	No
25. Dividend Exemption	Yes	No
26. Dividend Exemption	Yes	No
27. Dividend Exemption	Yes	No
28. Dividend Exemption	Yes	No
29. Dividend Exemption	Yes	No
30. Dividend Exemption	Yes	No
31. Dividend Exemption	Yes	No
32. Dividend Exemption	Yes	No
33. Dividend Exemption	Yes	No
34. Dividend Exemption	Yes	No
35. Dividend Exemption	Yes	No
36. Dividend Exemption	Yes	No
37. Dividend Exemption	Yes	No
38. Dividend Exemption	Yes	No
39. Dividend Exemption	Yes	No
40. Dividend Exemption	Yes	No
41. Dividend Exemption	Yes	No
42. Dividend Exemption	Yes	No
43. Dividend Exemption	Yes	No
44. Dividend Exemption	Yes	No
45. Dividend Exemption	Yes	No
46. Dividend Exemption	Yes	No
47. Dividend Exemption	Yes	No
48. Dividend Exemption	Yes	No
49. Dividend Exemption	Yes	No
50. Dividend Exemption	Yes	No
51. Dividend Exemption	Yes	No
52. Dividend Exemption	Yes	No
53. Dividend Exemption	Yes	No
54. Dividend Exemption	Yes	No
55. Dividend Exemption	Yes	No
56. Dividend Exemption	Yes	No
57. Dividend Exemption	Yes	No
58. Dividend Exemption	Yes	No
59. Dividend Exemption	Yes	No
60. Dividend Exemption	Yes	No
61. Dividend Exemption	Yes	No
62. Dividend Exemption	Yes	No
63. Dividend Exemption	Yes	No
64. Dividend Exemption	Yes	No
65. Dividend Exemption	Yes	No
66. Dividend Exemption	Yes	No
67. Dividend Exemption	Yes	No
68. Dividend Exemption	Yes	No
69. Dividend Exemption	Yes	No
70. Dividend Exemption	Yes	No
71. Dividend Exemption	Yes	No
72. Dividend Exemption	Yes	No
73. Dividend Exemption	Yes	No
74. Dividend Exemption	Yes	No
75. Dividend Exemption	Yes	No
76. Dividend Exemption	Yes	No
77. Dividend Exemption	Yes	No
78. Dividend Exemption	Yes	No
79. Dividend Exemption	Yes	No
80. Dividend Exemption	Yes	No
81. Dividend Exemption	Yes	No
82. Dividend Exemption	Yes	No
83. Dividend Exemption	Yes	No
84. Dividend Exemption	Yes	No
85. Dividend Exemption	Yes	No
86. Dividend Exemption	Yes	No
87. Dividend Exemption	Yes	No
88. Dividend Exemption	Yes	No
89. Dividend Exemption	Yes	No
90. Dividend Exemption	Yes	No
91. Dividend Exemption	Yes	No
92. Dividend Exemption	Yes	No
93. Dividend Exemption	Yes	No
94. Dividend Exemption	Yes	No
95. Dividend Exemption	Yes	No
96. Dividend Exemption	Yes	No
97. Dividend Exemption	Yes	No
98. Dividend Exemption	Yes	No
99. Dividend Exemption	Yes	No
100. Dividend Exemption	Yes	No

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

SUBTOTAL (1)	(\$) 0.00
---------------------	------------------

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

		Extra Claims		Fee from below	Fee Paid
Total Claims	<input type="text"/>	-20** =	<input type="text"/>	X <input type="text"/>	= <input type="text"/>
Independent Claims	<input type="text"/>	-3** =	<input type="text"/>	X <input type="text"/>	= <input type="text"/>
Multiple Dependent	<input type="text"/>			X <input type="text"/>	= <input type="text"/>

<u>Large Entity</u>		<u>Small Entity</u>		<u>Fee Description</u>
<u>Fee Code</u>	<u>Fee (\$)</u>	<u>Fee Code</u>	<u>Fee (\$)</u>	
1202	18	2202	9	Claims in excess of 20
1201	86	2201	43	Independent claims in excess of 3
1203	290	2203	145	Multiple dependent claim, if not paid
1204	86	2204	43	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)	(\$) 0.00
---------------------	------------------

****or number previously paid, if greater; For Reissues, see above**

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity , Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
1051	130	2051	65	Surcharge - late filing fee or oath
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet
1053	130	1053	130	Non-English specification
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action
1251	110	2251	55	Extension for reply within first month
1252	420	2252	210	Extension for reply within second month
1253	950	2253	475	Extension for reply within third month
1254	1,480	2254	740	Extension for reply within fourth month
1255	2,010	2255	1,005	Extension for reply within fifth month
1401	330	2401	165	Notice of Appeal
1402	330	2402	165	Filing a brief in support of an appeal
1403	290	2403	145	Request for oral hearing
1451	1,510	1451	1,510	Petition to institute a public use proceeding
1452	110	2452	55	Petition to revive - unavoidable
1453	1,330	2453	665	Petition to revive - unintentional
1501	1,330	2501	665	Utility issue fee (or reissue)
1502	480	2502	240	Design issue fee
1503	640	2503	320	Plant issue fee
1460	130	1460	130	Petitions to the Commissioner
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)
1806	180	1806	180	Submission of Information Disclosure Stmt
8021	40	8021	40	Recording each patent assignment per property (times number of properties)
1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))
1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))
1801	770	2801	385	Request for Continued Examination (RCE)
1802	900	1802	900	Request for expedited examination of a design application

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)	(\$) 0.00
---------------------	------------------

(Complete (if applicable))

SUBMITTED BY

Name (Print/Type)	Allan T. Sponseller
-------------------	---------------------

Registration No. (Attorney/Agent)	38,318
--------------------------------------	--------

Telephone (509) 324-9256

Signature

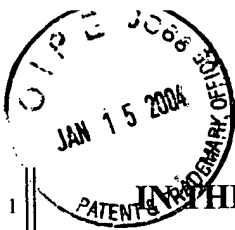
Date	11/2/04
------	---------

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS.

SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No. 10/609,266
Filing Date Jun 26, 2003
Inventorship Allard
Applicant Microsoft Corporation
Attorney's Docket No. MS1-1499US
Title: Saving Audio Source Identifiers for Soundtracks in a Gaming System

INFORMATION DISCLOSURE STATEMENT

References -- See Attached Form PTO-1449

REMARKS

The citations listed, copies attached, are submitted in compliance with the duty of disclosure defined in 37 CFR §1.56. The Examiner is requested to make these citations of official record in this application.

Respectfully Submitted,

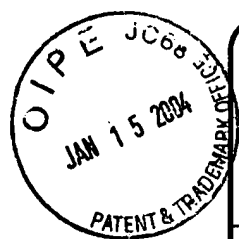
Date: 1/12/04

By:

Allan T. Sponseller
Reg. No. 38,318

Please type a plus sign (+) inside this box → +

+



Substitute for form 1449A/PTO			Compleat if Known		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>			Application Number	10/609,266	
			Filing Date	Jun 26, 2003	
			First Named Inventor	Allard	
			Group Art Unit	3713	
			Examiner Name	NGUYEN, KIM T.	
Sheet	1	of	3	Attorney Docket Number	MS1-1499US

U.S. PATENT DOCUMENTS						
Examiner Initials [*]	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
		6,299,535		Tanaka	10-09-2001	
		4,296,476		Mayer et al.	10-20-1981	
		4,432,067		Nielsen	02-14-1984	
		4,905,280		Wiedemer	02-27-1990	
		5,382,983		Kwoh et al.	01-17-1995	
		5,459,487		Bouton	10-17-1995	
		5,550,575		West et al.	08-27-1996	
		5,551,701		Bouton et al.	09-03-1996	
		5,598,276		Cookson et al.	01-28-1997	
		5,634,849		Abecassis	06-03-1997	
		5,649,862		Sakaguchi et al.	07-22-1997	
		5,716,273		Yuen	02-10-1998	
		5,791,992		Crump et al.	08-11-1998	
		5,878,134		Handelman et al.	03-02-1999	
		5,896,125		Niedzwiecki	04-20-1999	
		5,917,256		Broadbent, II	06-29-1999	
		5,973,683		Cragun et al.	10-26-1999	
		5,978,920		Lee	11-02-1999	
		6,396,531		Gerszberg et al.	05-28-2002	
		6,312,336		Handelman et al.	12-06-2001	

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	Foreign Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴ <i>(if known)</i>				
			WO 00/40027	Lang et al.	07-06-2000		

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

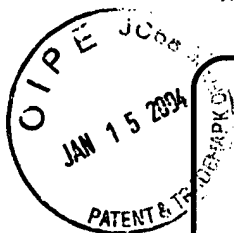
^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:** Assistant Commissioner for Patents, Washington, DC 20231.

+

+



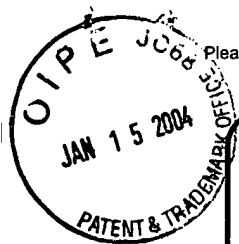
SUBSTITUTE FOR FORM 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Complete if Known			
		Application Number	10/609,266		
		Filing Date	Jun 26, 2003		
		First Named Inventor	Allard		
		Group Art Unit	3713		
		Examiner Name	NGUYEN, KIM T.		
Sheet	2	of	3	Attorney Docket Number	MS1-1499US

[illegible][illegible]

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

+

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.**



Please type a plus sign (+) inside this box → ☐

+

Substitute for form 1449B/PTO		Information if Known	
		Application Number	10/609,266
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Filing Date	Jun 26, 2003
		First Named Inventor	Allard
		Group Art Unit	3713
		Examiner Name	NGUYEN, KIM T.
		Attorney Docket Number	MS1-1499US
Sheet	3	of	3

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials [*]	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		Dean Takahashi, "Microsoft goes gaming. (Product Development)," Electronic Business, May, 2000, 2 pages.	
		Press Pass, "Xbox to Deliver Ultimate Console Gaming Experience," San Jose, Calif., March 10, 2000, 3 pages, 2001.	
		IGN.com, "What Is the 64DD?," September 17, 1997, 9 pages.	
		IGN.com, "The 64DD: Nintendo's Disk Drive,-Everythingyou ever wanted to know about the N64's first major add-on," January 28, 1998, 7 pages.	
		IGN.com, "The 64DD Connection- Here's how the 64DD and the Nintendo 64 connect," October 20, 1999, 5 pages.	
		IGN.com,"IGN64's Ultimate 64DD FAQ- Everything you ever wanted to know about the upcoming disk drive add-on. Updated: 12/13," December 15, 1999, 12 pages.	
		c/net NEWS.COM, "U.S. version of Playstation2 to have modem, hard drive," By Bloomberg News, April 14, 2000, 2 pages.	
		ZDNetUK NEWS, Rick Lehrbaum, LinuxDevices.com, Special to ZDNet, "Linux fuels game console plus," 07 July 2000, 3 pages.	
		CNET Gamecenter.com, Todd Mowatt, "Indrema: Linux-Based Console, August 11, 2000, 2 pages.	
		Planet GeForce,"Interviews-John Gildred of Indrema on the L600," Interviewed by Christopher "Razor" Gordon, 10/19/00, 5 pages.	
		Links 386CD, 1995, Access Software, Inc., pp. 1-87.	

Examiner Signature		Date Considered	
---------------------------	--	------------------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

+